

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

22278 U.S. PTO  
 10/723412  
  
 112603

Docket No. 0001095USQ/3050 Anticipated Classification of this Application Class: Subclass: Prior Application: 10/412,111 filed April 11, 2003 Examiner: Not Yet Assigned Art Unit: 3626  CUSTOMER NO.: 27623	
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**Mail Stop Patent Application**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, VA 22313-1450**

Dear Sir:

This is a request for filing a XXX continuation-in-part application under 37 CFR 1.53(b), of pending prior application, Serial No. 10/412,111 filed on April 11, 2003 of Juan Manuel Ocampo for Method For Managing An Investment Portfolio.

The filing fee is calculated below:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT BELOW				
FOR	NUMBER FILED	NUMBER EXTRA	RATE	BASIC FEE \$770.00
TOTAL CLAIMS	24 - 20 =	4	x \$18.00	\$72.00
INDEPENDENT CLAIMS	5 - 3 =	2	x \$86.00	\$172.00
MULTIPLE DEPENDENT CLAIM FEE			x \$290.00 = \$0	
<b>TOTAL FILING FEE</b>			<b>\$1,014.00</b>	
1/2 FILING FEE FOR SMALL ENTITY			\$507.00	

1. XX A check in the amount of \$ 547.00 is enclosed.  
 (\$507.00 for Filing Fee and \$40.00 for Assignment Recordation)
  
2. XX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.
  
3.      Cancel in this application original claim(s)                                      of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
  
4. XX A new oath or declaration, new formal drawings and an affidavit or declaration verifying it as a true copy is enclosed.
  
5. XX The undersigned attorney has verified that the applicant is entitled to a Small Entity Status.

8. **XX** Address all future communications to : **Paul D. Greeley, ESQ.**  
**OHLANDT, GREELEY, RUGGIERO & PERLE, L.L.P.**  
**ONE LANDMARK SQUARE, 10<sup>th</sup> FLOOR**  
**STAMFORD, CONNECTICUT 06901-2682**  
**TELEPHONE: (203) 327-4500**  
**TELEFAX: (203) 327-6401**

10. XX Priority of U.S. application Serial No. 10/412,111 filed on April 11, 2003 and U.S. Serial No. 60/411,652 filed on Sept. 18, 2002 is claimed under 35 U.S.C. §120 and 119 (e).

12. \_\_\_\_ I hereby verify that the attached papers are a true copy of prior application  
serial no. \_\_\_\_\_ as originally filed on \_\_\_\_\_.

November 26, 2003  
(Date)

Paul D. Greeley, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Reg. No. 31,019  
Telephone: (203) 327-4500  
Telefax: (203) 327-6401

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EL 999555135US**, service under 37 CFR §1.10 and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 26, 2003.

Michelle F. Pagliarulo  
(Typed name of person mailing paper)

(Signature of person mailing paper)

**REQUEST AND CERTIFICATION  
UNDER**

**35 U.S.C. 122(b)(2)(B)(I)**

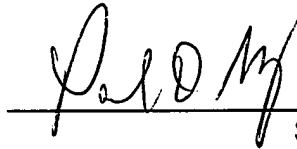
First Named Inventor: Juan Manuel Ocampo  
Title: Method For Managing An Investment  
Portfolio

Customer No.: 27,623  
Atty. Docket No.: 0001095USQ/3050

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 26, 2003

Date



Signature

Paul D. Greeley, Esq.

Type or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**